# **Phoenix: An open-sourced, Reproducible and Interpretable Mahjong Agent**

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## <span id="page-4-0"></span>**1. Introduction**

Phoenix is an open-source Mahjong Agent which is used to play Riichi Mahjong (Japanese Mahjong) game. Phoenix would be based on Suphx which was developed by Microsoft Research Asia. "Phoenix '' is a Mahjong term in Riichi Mahjong used to name people who get level 10(less than 20 people).

## <span id="page-4-1"></span>**1.1 Goal of Our Project**

The goal of our project--Phoenix is to produce an open-source and interpretable Mahjong Agent which could be used to populate Riichi Mahjong and improve people's level in Mahjong. Besides, if possible, we would try to promote the performance and level of Phoenix.

## <span id="page-4-2"></span>**1.2 Overview of Mahjong**

Riichi Mahjong is a tile based game with four players and is one of the most popular mahjong variants worldwide. It is usually played with 136 tiles. There are 34 different kinds of tiles, with four of each kind. there are three suits of

tiles, pin (circles), so (bamboo) and wan (characters), and unranked honor tiles [1] [2]:

● Pin 88<br>88 889 ● So ● Wan 伍萬 四萬 六萬萬 Wind tiles Dragon tiles

At the beginning, every player has 13 private tiles. The other tiles are shuffled as the wall. In every round, every player would draw a tile from the wall and discard a tile. Players can make a meld (open group) by calling for another player's discard. Generally, a winning hand consists of four melds and a pair like below.



Melds in mahjong are groups of tiles within the player's hand, consisting of either a Pong (three identical tiles), a Kong (four identical tiles), a Chow (three Simple tiles all of the same suit, in numerical sequence), or Eyes (two identical tiles needed in a winning hand).

● **Pongs** are a set of three identical tiles.



● **Kong** is a complete set of four identical tiles.



• **Chow** is a meld of three suited tiles in sequence.



● **Eyes** (also known as a pair) are two identical tiles which are an essential part of a legal winning hand.





Anyone who declares a winning hand wins the round. Different winning hands get different scores. The player who gets the highest accumulated scores in the end wins the game.

## <span id="page-6-0"></span>**1.3 Related Research**

### <span id="page-6-1"></span>**1.3.1 Mahjong AI**

Microsoft Research Asia has developed a Mahjong AI named Suphx [3]. Suphx is based on deep reinforcement learning, global reward prediction, oracle guiding, and run-time policy adaptation.

"Global reward prediction trains a predictor to predict the final reward of a game based on the information of the current and previous rounds.

Oracle guiding introduces an oracle agent that can see the perfect infor- mation including the private tiles of other players and the wall tiles.

As the complex playing rules of Mahjong lead to an irregular game tree and prevent the application of Monte-Carlo tree search techniques, we introduce parametric Monte-Carlo policy adaptation (pMCPA) to improve the run-time performance of our agent." [3]

Microsoft Research Asia evaluates Suphx on Tenhou, which is a web based mahjong platform in Japan with a complete ranking system and over 350,000 users. It shows that Suphx beats 99.9 percent of human players and reaches 10 dan.

#### <span id="page-6-2"></span>**1.3.2 Reinforcement Learning**

In nature, the idea that learning from interacting with the environment is common like infant plays [1]. Such interactions may become a source of knowledge that can be used and applied to conduct competitive or exploratory tasks. And learning from interaction is a foundational idea underlying nearly all theories of learning and intelligence. In the science field, some methods take advantage of this idea to solve some complex problems and reinforcement learning is a popular one among them. It focuses on goal-oriented learning from interaction than other approaches [4].

Reinforcement learning is different from supervised learning and unsupervised learning although they all belong to the machine learning field. Supervised learning is learning from a training set of examples with labels provided by a knowledgeable external supervisor [4] and unsupervised learning is learning a latent pattern from a bunch of unlabeled data.

Some challenges arose in reinforcement learning that are not in other types of learning methods. The first one is the trade-off between exploration and exploitation [4]. The agent has to exploit and explore in the learning process but they may lead to different influences on rewards. Exploitation means taking action as what has already been experienced. In contrast, exploration means taking actions that were not selected before. The challenge is that neither exploration or exploitation can be pursued exclusively without failing at the task [4]. Even though this problem has been intensively studied by mathematicians for a long time, it still remains unresolved yet [4]. Another challenge of reinforcement learning is that it explicitly considers the whole picture of the task for a goal-oriented agent interacting with an uncertain environment [4]. The dilemma is that it contrasts many approaches that consider dividing a big problem into several subproblems.

Overall speaking, reinforcement learning starts with interactive and goal-seeking learning agents that have explicit goals and have the ability to sense the environment. As agents choose actions, the environment is influenced and agents may sense the environment changes one step later.

Mahjong is a popular game especially among Asian areas. Reinforcement learning is an effective method to train players to master strategies. And how our team implements it to assist playing is introduced and further discussed in the part 7 Reinforcement Learning.

### <span id="page-7-0"></span>**1.4 Overview**

The EDD is divided into 6 sections with various subsections. The sections are:

- 1 Introduction 2 Glossary 3 Use cases 4 Design Overview 5 Data Design 6 Model Design
- 7 Reinforcement Learning
- 8 Results
- 9 Future Work

## <span id="page-8-0"></span>**2. Glossary**

**Riichi:** A player may declare ready if a player's hand needs only one tile to complete a legal hand (tenpai)

**Chow:** three consecutive simple tiles of the same suit

**Pong:** three identical tiles

**Kong:** four identical tiles

Yaku: Yaku are specific combinations of tiles or conditions that yield the value of hands. A winning hand consists of four melds requires at least one yaku.

## <span id="page-9-0"></span>**3. Use Cases**

## <span id="page-9-1"></span>**3.1 Mahjong Beginners**

A mahjong beginner would like to use Phoenix as a teacher. The Phoenix could either participate in a real game or demonstrate a classical game. The Phoenix would interpret why the move it chooses is the best move.

## <span id="page-9-2"></span>**3.2 Mahjong challengers**

A Mahjong challenger may like to test its mahjong level by challenging Phoenix with different levels written in different algorithms.

## <span id="page-9-3"></span>**3.3 Mahjong players substitute**

In a friend game, maybe there are less than 4 friends. In this situation, players could consider adding an agent as participants.

## <span id="page-10-0"></span>**4. Design Overview**

## <span id="page-10-1"></span>**4.1 Introduction**

In this part, we will introduce Suphx's architecture and in our Alpha stage the main goal is to reimplement Suphx. In our Beta stage we will introduce our advice to Suphx and additional features.

## <span id="page-10-2"></span>**4.2 Suphx System Architecture**

The Suphx system is consist of the following components:

- supervised models
- winning model
- global reward predictor
- oracle agent
- policy decision flow

#### <span id="page-10-3"></span>**4.2.1 Supervised Models**

Supervised models build a foundation for reinforcement learning, so that reinforcement learning can be trained much faster. These supervised models are decomposed into 5 models.

- Discard model
	- Decide which tile to discard
- Chow model
	- Decide whether to take chow action
- Kong model
	- Decide whether to take kong action
- Pong model
	- Decide whether to take pong action
- Riichi model
	- Decide whether to take riichi action

#### <span id="page-10-4"></span>**4.2.2 Winning Model (Rule based)**

The winning model of Suphx is based on the rule of mahjong. The system will check whether the current round is the last round of the game or not.

- If this is not the last round of the game, Suffix will declare and win the round;
- If this is the last round of the game,
	- If after declaring a winning hand the accumulated round score of the whole game is the lowest among the four players, do not declare;
	- Otherwise, declare and win the round

#### <span id="page-11-0"></span>**4.2.3 Global Reward Predictor (RNN based)**

The reason why we need a Global Reward Predictor is that Mahjong is a multi-round game. And the scores gained in each round are mostly determined by the initial hand. For a professional player, he may choose to win more scores when he gets a good initial hand while choosing to lose small scores to keep his current rank. In this case, we can't judge if a player is professional just by the score in one round.

The Global Reward Predictor would be implemented in a RNN-like structure such as GRU, given scores of history rounds. The loss would be

$$
\min \frac{1}{N} \sum_{i=1}^{N} \frac{1}{K_i} \sum_{j=1}^{K_i} (\Phi(x_i^1, \dots, x_i^j) - R_i)^2,
$$

We will choose Round score, dealer position, counters of repeat dealers, Riichi bets as input features.

#### <span id="page-11-1"></span>**4.2.4 Oracle Agent**

The method we use to train an agent for imperfect-information is to train the agent with perfect information and gradually decay the "cheating information". Finally the agent would be trained only with imperfect information.

#### <span id="page-11-2"></span>**4.2.5 Policy Decision Models**

We will train five separate decision models to aggregate our final policy decision. The five models are Discard Model, Riichi Model, Chow Model, Pong Model, Kong Model. Supervised learning would be applied with state as input and action as output. For example, in the training of discard model, the input is all the observable information and the output is the tile discarded in that state.

The training set would be expert room logs from Tenhou platform.



Fig. 1: Decision Flow

## <span id="page-13-0"></span>**5 Data Design**

### <span id="page-13-1"></span>**5.1 Data Sources**

Tenhou platform provided a well designed logging system, that will automatically log each game in the XML format (Extensible markup language). Each player's actions and table information are recorded as XML tags. In order to bootstrap the process of supervised learning training, we scrape the logs bundle from the tenhou platform server. Each file is bundled together by year from 2009 to current time. There are some earlier logs as well from 2005, but the highest ranking table became available only after 2009. Since we are looking for high quality games, the low ranking games are filtered and three person mahjong is also removed for the simplicities. Here is the simple statistic of the dataset. For some unknown reason the 2020 logs are missing in the tenhou platform. We will skip them for now.

Raw XML log from Tenhou											
2009	2010	2011		$\vert 2012 \vert 2013 \vert 2014 \vert 2015 \vert 2016 \vert 2017 \vert 2018 \vert$						2019	2021
51k	40k	464k	85k	71k	48k	46k	44k	30k	36k	46k	1332

Table Ⅰ: Size of Raw Data

<span id="page-13-2"></span>Now, we have all these raw logs and ready to preprocess and extract features from them.

## **5.2 Data Preprocessing**

#### <span id="page-13-3"></span>**5.2.1 Data for Supervised Learning**

In order to generate training data for supervised learning, we need to provide data from the perspective of each player that leads into their decisions. Since raw data are bundles of log files for each game with table information and player operations, we need to initialize a state at the beginning of the game and append changes to it.

Firstly, we will use the log parser to resolve data from logs, and extract features from it. We extracted features for the Discard Model, Riichi Model, Chow Model, Pong Model, and Kong Model. In this step, we generate a 2d vector for each state as the input of a supervised learning neural network. The detailed format will be shown as below.

The most challenging part is how to find a suitable format to save our file. At the beginning, we have tried to store all the data in Python dataframe. Although it is workable on small size csv files (such as 2021.csv), it becomes time consuming and huge memory consumption. we quickly turn to storing them in hdf5 format. In this way, we presistiting our data into the disk to relieve the memory burden. However, there are some drawbacks to storing data into the hdf5 dataset. We need to keep the data shape in the

same shape in which it will consume more disk space. Same apply to npy files. After trying multiple types, finally we decided to use json as our output file, which also supports persisting data to disk, and could speed up the processing time by appending processed data at the end of file.

In this part, we also made an effort to remove three-player log, and fixed logs with duplicate nodes.

#### <span id="page-14-0"></span>**5.2.2 Data for Real-time Gaming**

When inference the model in real-time gaming at tenhou platform, data are collected from instances of Player class. Since real-time package analysing and state storing is already handled by the tenhou platform, all we need to do is to find the right location of data that we need, including all kinds of player tiles and board information. Fortunately, detailed tile information can be found in player.tiles, player.meld\_tiles and player.discards, while other board information can be found in player.table, which is an instance of Table class.

### <span id="page-14-1"></span>**5.3 Data Format**

#### <span id="page-14-2"></span>**5.3.1 States Format**

We have different data formats in different models. The following are the data formats for the Discard Model and Riichi\_Chow\_Pong \_Kong Model.

#### **Discard Model:**

Each json represents a state, which includes the tile the player drawed, player's current hands, tiles discarded by four players, four players' open hands, as well as the tile the player decided to discard based on the previous features.

The example is shown here:  $\{$  'draw tile': 8, 'hands': [95, 110, 50, 117, 1, 108, 71, 127, 68, 51, 15, 122, 44, 8], 'discarded tiles pool': [], 'four players open hands':  $[[], [[], [[],$ 'discarded tile': 8}

#### **Riichi\_Chow\_Pong \_Kong Model:**

Each json represents a state, which includes all the information shown currently, and the decision that the player finally made based on the previous information.

The example is shown here: {'player\_id': 2, 'dealer': 3, 'repeat dealer': 0, 'riichi bets': 0,

'player\_wind': 'S', 'prevailing\_wind': 'E', 'player\_tiles': {'closed\_hand:': [18, 86, 50, 55, 84, 22, 57], 'open\_hand': [125, 127, 124, 28, 21, 24], 'discarded tiles': [123, 117, 74, 131, 133, 64, 7, 89, 33, 45, 128, 65, 119]}, 'open\_hands\_detail': [{'tiles': [125, 127, 124], 'meld type': 'Pon', 'reacted tile': 124}, {'tiles': [28, 21, 24], 'meld\_type': 'Chi', 'reacted\_tile': 28}]  ${\text{Yذ}\left\{T_1, T_2, T_3, T_4\right\}}$  ('tiles': [4, 5, 6, 7], 'meld type': 'AnKan', 'reacted tile': None} {'tiles': [114, 112, 113, 115], 'meld\_type': 'MinKan', 'reacted\_tile': 114} {'tiles': [67, 64, 66, 65], 'meld\_type': 'KaKan', 'reacted\_tile': 65} 'enemies tiles': [ ${$ 'enemy seat': 0, 'closed hand:': [62, 83, 98, 63, 12, 79, 92, 54, 27, 97, 72, 61, 105], 'open\_hand': [], 'discarded\_tiles': [1, 120, 130, 129, 37, 118, 30, 29, 71, 46, 108, 32, 36]}, {'enemy\_seat': 1, 'closed\_hand:': [99, 78, 85, 103, 67, 58, 77, 90, 104, 60, 16, 13, 9], 'open\_hand': [], 'discarded\_tiles': [114, 73, 100, 38, 6, 28, 34, 116, 48, 26, 44, 113, 75]}, {'enemy\_seat': 3, 'closed\_hand:': [43, 87, 40, 88, 59, 19, 42, 17, 80, 94, 53, 109, 81], 'open\_hand': [], 'discarded\_tiles': [69, 121, 124, 31, 102, 70, 10, 122, 68, 106, 126, 111, 41, 110]}], 'dora': [47], 'scores': [24800, 27800, 22400, 25000], 'last player discarded tile': 8, 'could chi': 0, 'could pon': 0, 'could\_minkan': 0, 'is\_FCH': 0, 'action': ['DRAW', 35] }

#### <span id="page-15-0"></span>**5.3.2 Extracted Feature Format**

General feature is a  $(34)$  vector include information such as player tiles  $(34)$ <sup>\*</sup> $12$ ), enemies tiles( $34*12$  for each one of three enemies), dora( $34*5$ ), current scores( $34*4$ ), and other basic board information(34\*5) like the dealer and wind. Features for player tile and enemy tile have the same structure, they all include the information of closed hand, opened hand and discarded tiles. Each group of tiles is represented as a (34\*4) vector, since there are 34 kinds of tiles with 4 replicas of each. Element in the vector is set to 1 if the corresponding tile is in the group, else remain 0. Dora feature is set to be at a size of (34\*5) is because there are at most 5 dora indicators, and they are also from these 34 kinds of tiles. There are four players in total so the current scores feature is designed to be (34\*4), each column represents one player's score. Score that is larger than 50000 is

truncated to 50000, and score from 0 to 50000 is linearly represented by 1s in that column. Other board information like dealer seat, player seat, repeat dealer, riichi bets, player wind and prevailing wind are all represented by a (34\*1) column in a similar way.

Apart from the General feature, each model has its own individual extra feature, for example, meld to Chi is an individual feature important to Chi feature generator, like meld to Pon for Pon feature generator and meld to Kan & Kan type for Kan feature generator. Thus the final feature will be individual feature concatenate to general feature.

We planned to add more features like look-ahead features in General features. Look-ahead feature is designed to be a combination of shanten, safe tile and the possibility to win a large amount of scores. The possibility is calculated by DFS searching all possible discards and draws, which will cost seconds when the number of replaced tiles reach three or more. However, time is limited for us to make decisions, thus this latency is not allowed.We tried several kinds of methods to shrink the time consuming but all failed to limit it to a practical range. Thus we finally decided not to add this feature into our initial version and this might be a part of future work.

## <span id="page-17-0"></span>**6. Supervised Model Design**

In mahjong there are few actions needed when playing. In the online setting, the actions are simplified. There are only five main actions players need to take, thus we designed these models below using supervised learning to gain the knowledge from high ranking human players.

## <span id="page-17-1"></span>**6.1 Discard Model**

Discard is one of the most common actions in the mahjong world and yet the most complicated model among others. There are many factors that will change the probability to win this game. Other players are able to make an action based on your discard tile, so it's important to not discard the tile that's needed for other players.

Adapted from the classic computer vision image CNN model, one of the most fundamental models of the deep learning field. We already know that the whole mahjong set is 136 and 4 same tiles for each kind of tile, so we have 0 to 34 columns as the unique type of tiles and 0 to 4 rows for each of the same kind of tiles. In the typical image processing, image has length, width and color channels and feeds into the CNN model. In our case, we have columns representing width and rows representing length. For channels, we set it to one in order to use the convolutional layer in the CNN. Our discard model takes the ideas from the well known ResNet architecture and in fact the rest of models are using the similar architecture as well. We first have our input features stack together to form a  $16 \times 34 \times 1$  matrix. In the current states, we only use 4 types of features and in the future, we will use some look ahead features to push the performance a little more. Three layers of convolutional layers with filter size 256 and kernel size 3x1 are applied to the input features. In the next step, we will introduce the residual block In ResNet, there are many deep convolutional layers, a classic example is ResNet 50, which used 50 blocks of residual blocks. The residual block is used to make a shortcut to each layer, so that the information can be passed from the first layer to the last layer with less effort and perversely. In deep neural networks, information propagated through each layer is difficult and slow. With the residual block, this is no longer a problem. In our setting, we only repeat the block 5 times for the sake of speed. Later, we will do hyperparameter tuning to find out the optimal repetition needed for this model. After the residual blocks, we apply one additional convolutional layer and flatten the output to 1 dimensional array. At this time, all we need to do is to apply a dense layer with softmax activation function to distribute the probabilities to 34 classes.



Fig. 2: Workflow of Midterm Discard Model

### **6.2 Riichi, Chow, Pong, and Kong Model (RCPK)**

Riichi, Chow, Pong, and Kong model, RCPK model for short share the same network architectures due to the nature of binary actions. Users only need to decide whether or not to perform this action. Of course, the rule is more complex than just making a decision, but for now, we will keep it simple here. Each model has different kinds of features, but no matter what kind of model is, they are all in the D x34x1 form, where the D is the feature stack dimension depending on the model. Similar to the discard model, we used the 3 layer of convolutional layers and 5 repeated residual blocks. At this point, everything is identical to the discard model, but next instead of one layer convolution layer, three layers are used. Flatten apply to the output and go though the 1024 fully connected layer and another 256 fully connected layer. Finally, distribute the probabilities to binary classes.



Fig. 3: Workflow of Midterm Riichi Model, Chow Model, Pong Model, and Kong Model

The previous architecture of supervised models suffers from slow training with our dataset. For this reason, we decide to investigate the other type of implementation for our task. We found DenseNet architectures have a more compact form of feature extraction and are smaller in terms of parameter size and model size. The main building blocks of DenseNet are the dense blocks. Each block consists of one Convolutional layer with kernel size 1 x 1 and another convolutional layer with 3x3 kernel size. The difference between each block is the number of such pairs in the block. In our case, we used in total 201 layers. First block has the 6 such pairs, the second block with 12 pairs, the third block has 48 pairs, and the last block with 32 pairs. After each block, there are one more 1x1 convolutional layer and one 2x2 average pooling layer. Note that instead of performing the skip connection in ResNet, every layer in the block directly connects to all subsequent layers. At the end, we added 2 more dense layers to smooth down the distribution and softmax activation function to distribute the probabilities to 34 classes for Discard model and binary classes for Chi, Pon, Kan, and Riichi model. Since discard and other models have different numbers of classes and also evaluate differently.



Fig. 4: Workflow of Post Midterm Model

## <span id="page-19-0"></span>**7 Reinforcement Learning**

### <span id="page-19-1"></span>**7.1 Reinforcement Learning Algorithms**

When we consider choosing RL algorithms, there are two constraints. The first point is, compared to common games which give instant reward for each step, mahjong is more similar to board game Go. You could only get feedback after completing each round. The second point is that, in mahjong it is hard to build a network to estimate the Q-value of the current board situation. Unlike Go, the advantage and disadvantage didn't show on board. Player should decide on attack or defend based on the quality of his own hands as well as deduce what would be a dangerous tile based on others' discarded tiles. Based on these two considerations, we choose **policy gradient** as our RL algorithms.

$$
\mathcal{L}(\theta) = \underset{s, a \sim \pi_{\theta'}}{\mathrm{E}} \left[ \frac{\pi_{\theta}(a|s)}{\pi_{\theta'}(a|s)} A^{\pi_{\theta}}(s, a) \right]
$$

As mentioned before, we can not get instant feedback in each timestep. We will use processed round results (will mention below) as Advantage.

### <span id="page-19-2"></span>**7.2 Global Reward Predictor**

We could get round scores after each round. However, as we mentioned above, the gains and loss of score in each round didn't absolutely mean good or bad feedback for your policy. Take a simple example, you start with a bad initial hand so you take a conservative method and avoid to lose more scores by 'Ron'. Finally you lose a little points because others made a 'Tsumo'. In another round, you have a good initial hand and take an aggressive method. You won a lot of scores in this round and finally got rank 1. In this example, we should give positive signals for both rounds. That's why we need a global reward predictor. The global reward predictor is used for generating a round reward given round history. Below is the structure of the global reward predictor.



Fig. 5: Workflow of Rewards Predictor Model

The following features are used

- Dan (ranks of players)
- Dealer
- Repeat dealer number
- Riichi bets number
- Round initialization score and gains

The reason why we add dan is that the points gained for different rankings are influenced by players' dans.

位	初期pt	東風戦				東南戦				
		1位	2位	3位	4位	1位	2位	3位	4位	昇段pt
新人	0				0				0	20
9級	0				0				0	20
8級	0				0				0	20
7級	0		一般 $+10$	$+0$	0	一般 $+30$	一般 $+15$	$+0$	0	20
6級	0	−般 $+20$			0				0	40
5級	0				0				0	60
4級	0				0				0	80
3級	0				0				0	100
2級	0				$-10$				$-15$	100
1級	0				$-20$				$-30$	100
初段	200	上級	上級		$-30$	上級	上級		$-45$	$400\blacktriangledown$
二段	400	$+40$	$+10$		$-40$	$+60$	$+15$		$-60$	800 $\Psi$
三段	600				$-50$				$-75$	1200▼
四段	800		特上 $+20$		$-60$	特上 $+75$	特上 $+30$		$-90$	1600▼
五段	1000	特上 $+50$			$-70$				$-105$	2000▼
六段	1200				$-80$				$-120$	2400▼
七段	1400				$-90$				$-135$	2800▼
八段	1600	鳳凰	鳳凰		$-100$	鳳凰	鳳凰		$-150$	3200▼
九段	1800	$+60$	$+30$		$-110$	$+90$	$+45$		$-165$	3600▼

Fig. 5: Points Gained by Different Rankings and Dans

The Global Reward Predictor is trained by minimize the following mean square error:

$$
\frac{1}{N} \sum_{i=1}^{N} (\Phi(x_i^1, ..., x_i^j) - R_i)^2
$$

Where N denotes the number of games in the training data,  $R<sub>i</sub>$  denotes final points gained by four players. Φ is our global reward predictor. As we mentioned the purpose of it is to distribute final rewards to each round. In that case, we will use j−1`

 $\Phi\left(x_i^1, ..., x_i^j\right) = \Phi\left(x_i^1, ..., x_i^{j-1}\right)$  as the reward of round j.  $\frac{1}{i}$ , ...,  $x_i^j$  $\left( x_{i}^{1},...,x_{i}^{j}\right) \Phi \left( x_{i}^{1}\right)$  $\frac{1}{i}$ , ...,  $x_i^j$  $\{x_{i},...,x_{i}\}\$ 



## <span id="page-22-0"></span>**7.3 Reinforcement Learning Pipeline**

Fig. 6: Reinforcement Learning Pipeline

We use Tenhou Client to connect with Tenhou Platform. The client will receive and send packet messages to communicate with Tenhou Platform. Every time when a decision should be made (like if we should chi and how to chi), the client will request deep models built inside it with board states. The action will be returned to Tenhou Platform for continuing the game. Besides, an experience collector will record every decision of our deep models as well as board states, predicted probability distributions. After each round, the global reward predictor will give a reward which is appended to each sample. The tuple (features, old pred, actions, reward) will be sent to our trainer. The trainer will take a PPO loss and finetune the deep models.

## <span id="page-22-1"></span>**7.4 Distributed Reinforcement Learning**



Fig. 7: Distributed Reinforcement Learning Pipeline

We used the Ray framework developed by RISE Lib to build our distributed training pipeline. There are five components.

- Replay Buffer (distributed): Store experiences.
- Parameter Server (distributed): Store most updated model weights.
- Actor (distributed): Self-play or play with real users, responsible for collecting experiences.
- Learner (centralized): Pull samples from cache, train and push weights to cache.
- Cache (centralized): Built inside Learner, use multiprocessing to communicate with replay buffer and parameter server. There are two multiprocessing queues inside cache. The first is to store samples, the second is to store model weights.

As we can see, there are 6 main paths in our distributed pipeline.

Step 1. Actors which are connected to Tenhou Platform keep running and send experiences to Replay Buffer on the same node.

Step 2  $\&$  5. Cache ran as a children process to fetch training samples in all replay buffers as well as broadcasting weights to all Parameter Server.

Step 3  $\&$  4. Learner will randomly sample from cache and train a batch. After some training, Learner will push its weights to cache.

Step 6. Actors will periodically check parameter servers, update its parameters and continue playing.

The reason why we need a cache is that we have to use ray.get to wait for results of remote function. Typically we will use ray.remote to start a remote task and ray will arrange the remote task execution. If we need the return value of a remote function, we should use ray.get. However ray.get is too slow for learners to get training samples. A child process which is the cache is introduced here to communicate with all replay buffers and parameter servers while Learner is training. This solution speed up the Learner.

## <span id="page-23-0"></span>**8 Results**

### <span id="page-23-1"></span>**8.1 Connection with Platforms**

#### **8.1.1 Google Cloud Platform**

#### <span id="page-23-2"></span>**8.1.1.1 Preprocessing using Dataflow**

Processing such a huge dataset locally is infeasible. Since Google Cloud Platform(GCP) offers \$300 free credits for all new users, we have decided to use GCP to do preprocessing and training. In order to work on these dataset more efficiently, we move all our dataset from local storage to the google cloud storage bucket, this will significantly speed up the disk I/O and lower the network latency for other GCP services. Utilizing the preprocessing logic from the section 5.2, we employ an apache beam pipeline to speedup and scale for processing our dataset. Apache beam is a unified programming model to define and execute data processing pipelines, including extract, transform, and load(ETL), batch and stream (continuous) processing. Beam can easily do distributed processing on the fly and the GCP dataflow services use apache beam as the main backbone.

In the preprocessing stage, the input of the pipeline is directly sourced from the google storage bucket. Logic in the pipeline is defined by one unit, meaning that every operation logic is done by one unit. Only one row of data is processed at a time. This is for the purpose of multithreading and multi workers distribute processing. Since each row in a csv file is a game log, we read the csv files line by line. In the next step of the pipeline, we convert the raw text to csv row data and only the log column is extracted. The extracted column consists of only one log in the raw xml format. We need to parse the xml format to an easy to manage format. This is done in the section 5.2. After getting the result from 5.2 in dictionary form, we transform it to the shape of (D,34,1), the first dimension is determined by different features in different models. Filtering out some of the invalid data points, we split it into train and validation datasets for later training. These datasets are saved into the TFRecord files in the bucket, we will use them to load our dataset into tensorflow training. At the point, we have concluded the process of preprocessing, all the relevant files are generated and ready to begin the training process.



Fig. 11: Workflow of Preprocessing and Training on GCP

#### <span id="page-24-0"></span>**8.1.1.2 Distribute training using mirrored strategy**

Training on a single GPU is slow and impractical. In order to expedite the process, we utilized the MirroredStrategy provided by the tensorflow api. MirroredStrategy is a synchronous training strategy that works in a single machine with multiple GPUs. In GCP, we are allowed to attach some amount of GPUs to our master server, so we can distribute training with multiple GPUs. The idea of MirroredStrategy is to make a copy of the model variable to all the processors, then it will combine the gradients all together and apply to all copies of processors. Since keras is the higher level API for tensorflow, we can easily use it for our code. All we need to do is to add the appropriate scope to our model and it will do the rest for us. In our experiment, we used a master server with n1-highmem-8 which has 8 vCPU and about 7 GB memory per vCPU. We attached 4 Nvidia T4 GPUs to our master server for our training task. With the help of multi GPUs, we are able to quickly train our RCPK model in a few hours. These models have smaller datasets compared to discard models due to the constraints to call these actions and discard is performed more often. On the other side, the Discard model is much slower compared to RCPK models and more difficult to get great performance out of the box. In the later phase, we will possibly use Multiworker mirrored strategy to do the hyperparameter tuning which allows us to scale up horizontally by adding more machines with multiple GPUs.

#### <span id="page-25-0"></span>**8.1.2 Tenhou Platform**

Few days before the mid term, we are able to connect everything in tenhou bot with our supervised models. Now, our agent will perform chow, pong, kang, riichi, and discard action on the tenhou platform with human players. Our agent collects features from known information in the table as the game progresses and transforms them into the single feature row similar to our training dataset. This feature is used by models to make predictions. In discard, the prediction will give us the distributions of probabilities of each class. Argmax applied to find out which one has the highest probability and this number will be mapped to the unique tiles. We tell the tenhou client the tile we want to discard and a websocket message sends to the server and updates accordingly. The other models have the similar mechanism , the difference is that we need to first manual check the condition for valid action. In other words, some rules need to be checked before we ask the model to make an action. Models only make predictions when they meet all the requirements. Below is an example run against 3 human players. phx527 is our agent bot. Our current supervised models can achieve 2nd place. In this game, our agent plays defensively. Agent don't throw out any existing meld in hands and cautiously discard the tile that can't be used against self.

	2021-03-16 14:20:26 DEBUG: id=draw	
	2021-03-16 14:20:26 DEBUG: Step: 13	
	2021-03-16 14:20:26 DEBUG: Remaining tiles: 12	
	2021-03-16 14:20:26 DEBUG: Hand: 3344067m123789p + 4p	
	2021-03-16 14:20:26 DEBUG: Send: <d p="26"></d>	
	2021-03-16 14:20:26 INFO: Discard: 7m	
	2021-03-16 14:20:28 DEBUG: Get: <furiten show="0"></furiten> <d26></d26> <u></u>	
	2021-03-16 14:20:28 DEBUG: Send: < Z />	
	2021-03-16 14:20:29 DEBUG: Get: <e121></e121> <v></v>	
	2021-03-16 14:20:31 DEBUG: Get: <f82></f82>	
	2021-03-16 14:20:35 DEBUG: Get: <n m="45351" who="3"></n>	
	2021-03-16 14:20:35 INFO: Meld: Type: chi, Tiles: 123s [72, 77, 82] by 3	
	2021-03-16 14:20:36 DEBUG: Get: <g3></g3> <t43 t="32"></t43>	
	2021-03-16 14:20:37 INFO: Drawn tile: 2p	
	2021-03-16 14:20:37 DEBUG: id=draw	
	2021-03-16 14:20:37 DEBUG: Step: 14	
	2021-03-16 14:20:37 DEBUG: Remaining tiles: 9	
	2021-03-16 14:20:37 DEBUG: Hand: 334406m1234789p + 2p	
	2021-03-16 14:20:37 DEBUG: Send: <d p="43"></d>	
	2021-03-16 14:20:37 INFO: Discard: 2p	
	2021-03-16 14:20:39 DEBUG: Get: <d43></d43> <u></u>	
	2021-03-16 14:20:41 DEBUG: Get: <e61></e61>	
	2021-03-16 14:20:43 DEBUG: Get: <prof add="13.0,0,1,0,0,0,8,2,0,1,0" lobby="0" type="1"></prof> <AGARI ba="0,0" hai="36,41,45,56,61,67,129</th> <th></th>	
	2021-03-16 14:20:48 DEBUG: Send: <nextready></nextready>	
	2021-03-16 14:20:48 INFO: Log: http://tenhou.net/0/?log=2021031703gm-0001-0000-12b0e05d&tw=2	
	2021-03-16 14:20:48 INFO: Final results: [NoName (61,000) 71.0, phx527 (33,000) 13.0, TAKU (14,000) -26.0, jilojilo (-8,000) -58.0]	
	2021-03-16 14:20:48 DEBUG: Send: <bye></bye>	
	2021-03-16 14:20:48 INFO: End of the game	

Fig. 12: Example run with 3 human players<sup>1</sup>

## <span id="page-26-0"></span>**8.2 Training Results**

In this section, we will talk about the training results we have for various models. In our current stage of development, only supervised models finished the training, hence, only those models have training data.

#### <span id="page-26-1"></span>**8.2.1 Supervised Model**

As the foundation of our mahjong system, we want these supervised models to have some comparable level of skills to human players, so we can continue to improve using the reinforcement learning techniques later.

#### <span id="page-26-2"></span>**8.2.1.1 Discard Model**

Since the number of unique tiles in mahjong is 34, our discard model is modeled as a 34 classes classification problem. Below is the training categorical accuracy of each class in every batch. As you can see here, we are achieving consistent results with about 60% accuracy on each class. compared to the performance from Suphx[5], we still have some work to do, but we are very close to what they have for this model.

<sup>&</sup>lt;sup>1</sup> Replay log - http://tenhou.net/0/?log=2021031703gm-0001-0000-12b0e05d&tw=2



#### <span id="page-27-0"></span>**8.2.1.2 RCPK Models**

RCPK models share similarities in terms of structures and tasks. They are all doing binary classification. For the sake of simplicity, some of the actions are rule based. Since they are binary, we are expecting much higher results from them. In the following graphs, these models indeed achieve some promising results. Although the loss looks strange, the problem might be the learning rate is too large at the beginning. These models still require some extensive training in order to reach their optimal performance.



Fig. 14: Binary Accuracy(left) and Loss(right) of Kan model



Fig. 15: Binary Accuracy(left) and Loss(right) of Riichi model Since we changed our model architectures after midterm, here are the results for the new architectures.



Fig. 16: Categorical Accuracy(left), AUC(center), and Loss(right) of Discard model



Fig. 17: Binary Accuracy(left), AUC(center) and Loss(right) of RCPK model

## **9 Future Work**

We will continue to work on the following sections:

- Evaluate performance by stable rank.
- Implement the oracle guiding to speed up the training.
- Deploy to a larger cluster.

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